



Docket No.: 000166.0112-US00
(PATENT)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:
Michael E. Rickey, et al.

Application No.: Not Yet Assigned

Group Art Unit: N/A

Filed: August 31, 2001

Examiner: Not Yet Assigned

For: RESIDUAL SOLVENT EXTRACTION
METHOD AND MICROPARTICLES
PRODUCED THEREBY



TRANSMITTAL LETTER

Commissioner for Patents
Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced
Patent Application:

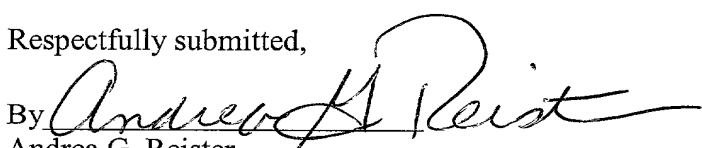
1. Application Data Sheet;
2. Utility Patent Application Transmittal;
3. Fee Transmittal;
4. Utility Application comprising: 30 pages of description; nine pages of claims (87
claims); one page abstract; and three sheets of drawings;
5. Declaration for Utility Patent Application;
6. Power of Attorney from Assignee;
7. Statement under 37 CFR 3.73(b) with a copy of the Assignment;
8. Recordation Cover Sheet;

9. Assignment to Alkermes Controlled Therapeutics Inc. II;
10. Information Disclosure Statement;
11. Form PTO/SB/08A and 58 cited documents;
12. Check No. 284827 for \$2,516 to cover: \$710 basic filing fee; \$1766 additional claims fee; and \$40 assignment recordation fee; and
13. Two return receipt postcards.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 000166.0112-US00. A duplicate copy of this paper is enclosed.

Dated: August 31, 2001

Respectfully submitted,

By 
Andrea G. Reister
Registration No.: 36,253
COVINGTON & BURLING
1201 Pennsylvania Avenue, N.W.
Washington, DC 20004-2401
(202) 662-6000

Please type a plus sign (+) inside this box →

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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No. 000166.0112-US00

First Inventor Michael E. Rickey

Title RESIDUAL SOLVENT EXTRACTION, etc.

Express Mail Label No.

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See 37 CFR 1.27
3. Specification [Total Pages 40]
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings *(if filed)*
- Detailed Description
- Claim(s)
- Abstract of the Disclosure
4. Drawing(s) (35 U.S.C. 113) [Total Sheets 3]
5. Oath or Declaration [Total Pages]
a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)
i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)
6. Application Data Sheet See 37 CFR 1.76

ADDRESS TO: Box Patent Application
Commissioner for Patents
Washington DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)
a. Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or ii. paper
c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))
10. 37 CFR 3.73(b) Statement Power of Attorney
(when there is an assignee)
11. English Translation Document *(if applicable)*
12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations
13. Preliminary Amendment
14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. Nonpublication Request under 35 U.S.C. 122 (b)(2)(B)(i).
Applicant must attach form PTO/SB/35 or its equivalent.
17. Other: _____

18. If a CONTINUATING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

 Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner _____

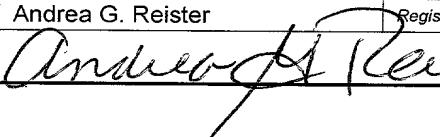
Group / Art Unit: _____

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	26853	or <input type="checkbox"/> Correspondence address below
---	-------	--

Name	Covington & Burling		
Address	1201 Pennsylvania Avenue, NW		
City	Washington	State	D.C.
Country	USA	Telephone	Zip Code 20004-2401
Telephone	202.662.6000	Fax	202.662.6291

Name (Print/Type)	Andrea G. Reister	Registration No. (Attorney/Agent)	36,253
Signature			Date August 31, 2001

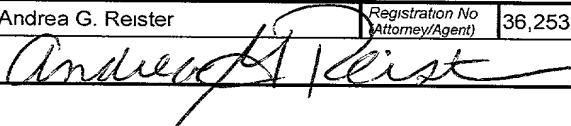
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT	(\\$) 2,516.00	Attorney Docket No.	000166.0112-US00
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METHOD OF PAYMENT				FEES CALCULATION (continued)																																																																																																																																																																																														
<p>1. <input type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:</p> <p>Deposit Account Number 50-0740</p> <p>Deposit Account Name [Redacted]</p> <p><input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status See 37 CFR 1.27</p>				<p>3. ADDITIONAL FEES</p> <table border="1"> <thead> <tr> <th>Large Entity</th> <th>Small Entity</th> <th colspan="2"></th> <th></th> </tr> <tr> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Code</th> <th>Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr><td>105</td><td>130</td><td>205</td><td>65</td><td>Surcharge - late filing fee or oath</td><td>[Redacted]</td></tr> <tr><td>127</td><td>50</td><td>227</td><td>25</td><td>Surcharge - late provisional filing fee or cover sheet</td><td>[Redacted]</td></tr> <tr><td>139</td><td>130</td><td>139</td><td>130</td><td>Non-English specification</td><td>[Redacted]</td></tr> <tr><td>147</td><td>2,520</td><td>147</td><td>2,520</td><td>For filing a request for ex parte reexamination</td><td>[Redacted]</td></tr> <tr><td>112</td><td>920*</td><td>112</td><td>920*</td><td>Requesting publication of SIR prior to Examiner action</td><td>[Redacted]</td></tr> <tr><td>113</td><td>1,840*</td><td>113</td><td>1,840*</td><td>Requesting publication of SIR after Examiner action</td><td>[Redacted]</td></tr> <tr><td>115</td><td>110</td><td>215</td><td>55</td><td>Extension for reply within first month</td><td>[Redacted]</td></tr> <tr><td>116</td><td>390</td><td>216</td><td>195</td><td>Extension for reply within second month</td><td>[Redacted]</td></tr> <tr><td>117</td><td>890</td><td>217</td><td>445</td><td>Extension for reply within third month</td><td>[Redacted]</td></tr> <tr><td>118</td><td>1,390</td><td>218</td><td>695</td><td>Extension for reply within fourth month</td><td>[Redacted]</td></tr> <tr><td>128</td><td>1,890</td><td>228</td><td>945</td><td>Extension for reply within fifth month</td><td>[Redacted]</td></tr> <tr><td>119</td><td>310</td><td>219</td><td>155</td><td>Notice of Appeal</td><td>[Redacted]</td></tr> <tr><td>120</td><td>310</td><td>220</td><td>155</td><td>Filing a brief in support of an appeal</td><td>[Redacted]</td></tr> <tr><td>121</td><td>270</td><td>221</td><td>135</td><td>Request for oral hearing</td><td>[Redacted]</td></tr> <tr><td>138</td><td>1,510</td><td>138</td><td>1,510</td><td>Petition to institute a public use proceeding</td><td>[Redacted]</td></tr> <tr><td>140</td><td>110</td><td>240</td><td>55</td><td>Petition to revive - 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late filing fee or oath	[Redacted]	127	50	227	25	Surcharge - late provisional filing fee or cover sheet	[Redacted]	139	130	139	130	Non-English specification	[Redacted]	147	2,520	147	2,520	For filing a request for ex parte reexamination	[Redacted]	112	920*	112	920*	Requesting publication of SIR prior to Examiner action	[Redacted]	113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	[Redacted]	115	110	215	55	Extension for reply within first month	[Redacted]	116	390	216	195	Extension for reply within second month	[Redacted]	117	890	217	445	Extension for reply within third month	[Redacted]	118	1,390	218	695	Extension for reply within fourth month	[Redacted]	128	1,890	228	945	Extension for reply within fifth month	[Redacted]	119	310	219	155	Notice of Appeal	[Redacted]	120	310	220	155	Filing a brief in support of an appeal	[Redacted]	121	270	221	135	Request for oral hearing	[Redacted]	138	1,510	138	1,510	Petition to institute a public use proceeding	[Redacted]	140	110	240	55	Petition to revive - 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<p>*or number previously paid, if greater; For Reissues, see above</p>																																																																																																																																																																																																		

SUBMITTED BY						Complete (if applicable)
Name (print/type)	Andrea G. Reister	Registration No (Attorney/Agent)	36,253	Telephone	(202) 662-6000	
Signature			Date	August 31, 2001		

STATEMENT UNDER 37 CFR 3.73(b)

Applicant/Patent Owner: Michael E. Rickey, et al.

Application No./Patent No.: To Be Assigned Filed/Issue Date: _____ Herewith

Entitled: RESIDUAL SOLVENT EXTRACTION METHOD AND MICROPARTICLES PRODUCED
THEREBY

Alkermes Controlled Therapeutics Inc. II, a Corporation
(Name of Assignee) Type of Assignee, e.g., corporation, partnership, university, government
agency, etc.

states that it is:

1. the assignee of the entire right, title, and interest; or
2. an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

A. [x] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at
Reel _____, Frame _____, or for which a copy thereof is attached.

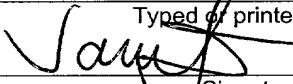
[] Additional documents in the chain of title are listed on a supplemental sheet.

[x] Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

Aug 16, 01
Date

James M. Frates
Typed or printed name

Signature
Vice President
Title